



Express Mail No.: EL 477 035 090 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Bhagwat et al.

Application No.: 09/910,950

Group Art Unit: 1614

Filed: July 23, 2001

Examiner: To Be Assigned

For: INDAZOLE DERIVATIVES AS  
JNK INHIBITORS AND  
COMPOSITIONS AND METHODS  
RELATED THERETO

Attorney Docket No.: 10624-047-999

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NON-PROVISIONAL APPLICATION FILED UNDER 37 C.F.R. §1.53(b)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application, which was mailed on September 19, 2001 (a copy of which is attached hereto), Attorneys for Applicants respectfully submit herewith a Declaration executed by the inventors.

Also submitted herewith is an Assignment of rights (2 counterparts) from the inventors to Signal Pharmaceuticals, Inc. and a recordation form cover sheet with provision for the required fee for each counterpart.

Also submitted herewith is a Petition for Extension of Time with provision for fee for response to the Notice to File Missing Parts of Non-Provisional Application to be extended for a period of four (4) months from November 19, 2001 up to and including March 19, 2002.

Also submitted herewith is a Power of Attorney by Assignee and Exclusion of Inventors Under 37 C.F.R. §3.71 executed by Alan J. Lewis, Ph.D., President, Signal Pharmaceuticals, Inc.

Signal Pharmaceuticals, Inc. qualifies as a small entity. Accordingly, Attorneys for Applicants submit the required basic filing fee (as a Small Entity) (estimated to be \$355.00), plus the surcharge for a small entity (estimated to be \$65.00), plus additional claim fees for 67 total claims over 20 (estimated to be \$603.00) for an estimated total of \$1023.00. Please charge the required fees to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this paper is submitted herewith for accounting purposes.

Applicants respectfully request that the above-identified documents be made of record in the present application file.

Date March 15, 2002

Respectfully submitted,

*Anthony M. Insogna, N.Y. No. 35,203*  
*By: Matthew E. Lutz, N.Y. No. 36,343*  
35,203

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(Reg. No.)

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/910,950	07/23/2001	Shripad S. Bhagwat	10624-047-999

20583  
PENNIE AND EDMONDS  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 100362711



CONFIRMATION NO. 3712

## FORMALITIES LETTER



\*OC000000006578890\*

Date Mailed: 09/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/21/2002 TBESHAH1 00000048 161150 09910950

FILED UNDER 37 CFR 1.53(b)

02 FC:201 370.00 CH  
03 FC:203 603.00 CH  
04 FC:205 65.00 CH

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1206.
  - \$1206 for 67 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2046.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE